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AP20 Rec'd PCT/PTO 29 MAR 2006Improvements in Thermal Ink

5 The present invention relates to thermal ink in which colour is developed by the direct application of heat.

10 In the manufacture of conventional thermal paper, a substrate such as paper is coated over its entire surface with an aqueous dispersion comprising colour formers, colour developers and sensitizers which are initially colourless but which become coloured on exposure to heat. Such dispersions can often contain a wax, for example a stearamide wax. When such a paper is passed under the print head of a thermal  
15 printer, the areas which are activated by the heated print elements of the printer form coloured images on the surface of the paper. Such papers work well and produce clear images, but are associated with a number of problems. The high chemical loading has been associated with environmental  
20 problems. The application of the thermal coating to the paper is an expensive operation, which must be carried out using complex and expensive coating equipment. And crucially, conventional printing to thermal coating is difficult, and can only be carried out by performing an  
25 expensive surface treatment to achieve compatibility between ink and coating or by specific printing processes e.g. UV cured inks.

Attempts have been made to develop a thermal ink which  
30 reduces the problems associated with thermal papers by obviating the need to provide a thermal coating over the whole surface of the paper. US 5,888,283 describes a thermal ink which can be printed onto paper using conventional printing processes, thus eliminating the need to use coating

equipment. The ink is pigment free, and comprises an aqueous dispersion of an initially colourless colour former and an initially colourless colour developer which combine to form colour upon the application of heat, the ink having a solids content of at least 40% by weight. It preferably includes a sensitizer which at least partially surrounds the particles of colour developer. Suitable sensitizers include diphenoxyethane, aryl or alkyl-substituted biphenyls such as p-benzyl biphenyl, or toluidide phenyl hydroxynaphthoates and aromatic diesters such as dimethyl or dibenzyl terephthalate and dibenzyl oxalate. These materials may be used alone, or they may be combined with waxes or fatty acids. The ink is applied by a flexographic or gravure printing process, and develops colour when passed through a direct thermal printer.

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The development described above has, however, a number of disadvantages. Specifically, using standard "stock" substrates under standard thermal imaging equipment leads to poor image formation. High energy laser sources are needed to produce acceptable image intensity. EP 600 441A describes a method which comprises irradiating a printed surface with a laser, the surface being printed with an ink which comprises a leuco dye as a colour former, an acidic substance as a colour developer, and at least one background colour formation inhibitor which is a water-soluble amino acid, and ammonium salt of an inorganic acid, a pH buffer, or water. However, laser printer types are not standard within the thermal paper industry and require cost expenditure to replace traditional thermal printing equipment if they are to be used.

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There is therefore a need for a system in which a thermal ink can be used to provide good print quality using standard thermal imaging equipment, there is minimal discolouration

during storage, and the product can be readily and economically printed using conventional non thermal imaging processes before it is thermally printed.

5 We have developed a thermal printing ink which, when printed on a very specific substrate, is resistant to premature colouration during storage of the coated papers, and may be imaged to produce high quality prints using standard thermal writing equipment at standard energy levels. The ink can be  
10 applied by printing on specific areas of a sheet. This reduces chemical costs and also allows the non-coated areas on the sheet to be printed by conventional means (wet offset and the like) to add value to the sheet, which is generally not possible using conventional thermal papers. The printing  
15 of the thermal ink can be carried out at the same time as the printing of information using conventional ink, which means that, for the first time, it is possible to produce visually attractive products such as labels, tickets or till rolls which carry high quality conventionally printed information,  
20 which will develop a high quality thermal image when subsequently passed through a thermal printer. The substrate used to carry the printed material may be made on a conventional paper making machine and, unlike conventional thermal coated papers, does not require subsequent processing  
25 using a separate coating machine.

Accordingly the present invention provides a thermal ink which comprises a colour former, a colour developer and a sensitizer, characterised in that the colour former comprises  
30 3-dibutylamino-6-methyl-7-anilinofluoran; the colour developer comprises bisphenol A; and the sensitizer comprises dimethyl terephthalate; and that the ink also comprises at least one pigment.

The colour former used in the present invention is 3-dibutylamino-6-methyl-7-anilinofluoran, alternative nomenclature: spiro[isobenzofuran-1(3H),9'-[9H]xanthen]-3-one,-6'-(dibutylamino)-3'-methyl-2'-(phenylamino)-, known by  
5 the common name ODB2, CAS number 89331-94-2, and available under the Trade Marks Black I-2R (Ciba), Black T-2R (Ciba), and PSD 184 (Nisso) amongst others.

Preferably the ink according to the invention contains only  
10 3-dibutylamino-6-methyl-7-anilinofluoran as the colour former. However, if desired, one or more additional colour formers may be added provided that such colour former does not lead to excessive discolouration. To prevent excessive discolouration, such additional colour former is preferably  
15 present in an amount of less than 10%, preferably less than 5%, especially less than 1%, by wt based on total colour former. The 3-dibutylamino-6-methyl-7-anilinofluoran may contain those impurities normally introduced under manufacturing conditions; these should preferably not exceed  
20 1%wt.

Preferably the ink according to the invention contains only bisphenol A as the colour developer. However, if desired, one or more additional colour developers may be added provided  
25 that such colour developer does not lead to excessive discolouration. To prevent excessive discolouration, such additional colour developer is preferably present in an amount of less than 10%, especially less than 5%, preferably less than 1%, by wt based on total colour developer. The  
30 bisphenol A may contain those impurities normally introduced under manufacturing conditions; these should preferably not exceed 1%wt.

Preferably DMT is the only sensitizer present, although one or more additional sensitizers may be present if desired. To prevent excessive discolouration, such additional sensitizer is preferably present in an amount of less than 10%,

5 preferably less than 5% by wt based on total sensitizer. The DMT may contain those impurities normally introduced under manufacturing conditions; these should preferably not exceed 1%wt.

10 The pigment comprised in the thermal ink according to the invention is preferably a high surface area, absorptive pigment, for example precipitated calcium carbonate, silica or calcined clay. Surprisingly, the presence of a pigment does not render the ink unsuitable for use in the intended  
15 application; rather, the pigment helps to prevent migration of the ink onto the thermal printing head during imaging, giving a high quality image.

Preferably the thermal ink of the present invention is free  
20 from wax. In conventional thermal formulations, paraffin wax is used to reduce unwanted discolouration of the thermal paper during storage. It is a surprising feature of the present invention that discolouration can be reduced without the presence of wax in the formulation.

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The ink suitably consists of three individual components mixed to form the ink precursor:

1) A dye system which includes the colour former and DMT,  
30 and which may also include components such as, for example, one or more surfactants, preferably a polyvinyl alcohol surfactant and optionally additional surfactants, and antifoam agents.

- 2) A coreactant system which includes the colour developer and DMT, and which may also include components such as, for example, one or more surfactants, preferably a polyvinyl alcohol surfactant and optionally additional surfactants, and antifoam agents.
- 3) A pigment dispersion in slurry form.

The thermal ink of the present invention is suitably prepared by grinding the above three components separately. These separate grinding operations reduce the tendency for unwanted colour reaction and produce a non-coloured ink vehicle. Preferably the particles in each component are ground to a particle size of less than  $1.5\mu$ , especially less than  $1.0\mu$ , for example from  $0.25\mu$  to  $1.0\mu$ . The components are then mixed together, optionally including additional components such as slip agents and defoamers, to form the resulting ink, which may be printed using conventional means, being compatible with standard flexographic printing processes in terms of viscosity and cell transfer. Ink in which the solid particles have a particle size of less than  $1.5\mu$  produces especially advantageous results.

Other additives which may be present if desired include zinc stearate which can be added as a slip agent to prevent build up on the thermal printing head, depending on the thermal printer design.

The thermal ink according to the invention has particularly useful properties when used in combination with a particular substrate. In this substrate, a sheet, which may for example be synthetic paper or polymer film but which is preferably paper, is coated on at least one surface with a coating comprising a layer containing a pigment in solid porous particulate form. The pigment present in the coating has a

high surface area and a high absorptivity, preferably with a surface area measured at  $>100 \text{ m}^2/\text{g}$  using the BET method or an ink absorbency of  $>50\text{g oil}/100\text{g pigment}$  (as described in Kirk-Othmer Encyclopedia of Chemical Technology, 3<sup>rd</sup> Edition, 5 Volume 17, pages 796 - 808). It preferably comprises calcined clay, calcium carbonate (in precipitated form, which is porous and of high absorptivity), and/or silica. The coating also preferably comprises at least one additional pigment, preferably a plastic pigment in the form of hollow 10 spheres.

The thermally printable sheet described above may be developed using conventional thermal printers. In such printers, heat is generated by the application of short 15 pulses of low-power electrical energy. There is no requirement to use specialist equipment such as lasers.

The thermally printable sheet may be prepared by printing a thermal ink according to the invention onto the coated 20 surface of the substrate, using a printer. It is a major advantage provided by the invention that the coating applied to the substrate may be applied to the whole surface of a sheet of paper during a conventional paper-making process without the use of separate, expensive coating machines, 25 while the thermal ink can be printed onto only those parts of the coated surface where thermal activity is required, using a conventional printer, if desired at the same time as conventional ink is printed onto the surface to provide high-quality visible information.

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The specific coating present on the substrate provides a number of advantages when used in combination with the thermal ink according to the invention. The thermal ink is held near the surface of the sheet to permit good contact

with the thermal printing head and maximise print formation, and there is adequate absorption of the thermal ink to prevent unwanted contamination of the thermal printing head which impairs print quality. In addition, thermal insulation  
5 is provided such that energy applied to the surface is maximised within a localised area rather than being dissipated through the sheet. This maximises the thermal print formation.

10 Most surprisingly, the use of the thermal ink according to the invention in combination with a substrate bearing a coating comprising a layer containing a pigment in solid porous particulate form, leads to a significant reduction in  
15 thermal papers.

The following Examples illustrate the invention.

#### Example 1

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#### Dye Material

Under agitation of <100 rpm using a Greaves or Silverson mixer, 2.25 dry parts of a 20% Polyvinyl alcohol solution  
25 (Moviol 4/88 - Clariant or Poval 203 - Kurraray) was added to a pre-selected quantity of water to achieve 40% total solids of the dye material blend. 0.08 dry parts of Surfinol 420 (Air Products) were quickly added to reduce foaming. Agitation was increased to 200 rpm and 4.56 dry parts of  
30 colour former, such as Pergascript T2R (Ciba Specialty Chemicals) were added, and mixing continued until the colour former was fully dispersed. 2.58 dry parts of DMT sensitiser (Molekula Ltd., UK) were then added to the mixture under



agitation of <100 rpm. Lastly a very small amount of Drewplus S4386 defoamer (Drew) was added.

The resulting fully dispersed mixture was added to a bead mill and continued to be ground until a size of less than 1µm median size and preferably  $\pm 0.5\mu\text{m}$  median size was achieved, as measured using a Malvern Multisizer. Particular attention was taken to ensure that the temperature during the grinding process did not rise to above 30°C. This prevented unwanted gellation of the mixture.

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#### Co-reactant Material

Under agitation of <100 rpm using a Greaves or Silverson mixer, 2.25 dry parts of a 20% Polyvinyl alcohol solution (Moviol 4/88 - Clariant or Poval 203 - Kurraray) was added to a pre-selected quantity of water to achieve 40% total solids of the co-reactant material blend. 0.08 dry parts of Surfinol 420 (Air Products) were quickly added to reduce foaming. Agitation was increased to 200 rpm and 10 dry parts of Bisphenol A coreactant (Molekula Ltd., UK) were added. Mixing continued until the colour former was fully dispersed. 2.58 dry parts of DMT sensitiser (Molekula Ltd., UK) were then added to the mixture under agitation of <100 rpm. Lastly a very small amount of Drewplus S4386 defoamer (Drew) was added.

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The resulting fully dispersed mixture was added to a bead mill and continued to be ground until a size of less than 1µm median size and preferably  $\pm 0.6\mu\text{m}$  median size was achieved, as measured using a Malvern Multisizer. Particular attention was taken to ensure that the temperature during the grinding process did not rise to above 30°C. This prevented unwanted gellation of the mixture.

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Pigment Material

Commercial high surface area pigment such as precipitated  
5 calcium carbonate (Calopake - F, SMI) was added to a pre-  
selected quantity of water to achieve a final solids of 55%.  
The mixture was agitated using a Greaves or Silverson mixer  
such that a particle size of less than 1.5µm median size was  
achieved.

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Ink Mixture

In a large stirred beaker or similar vessel, a pre-selected  
quantity of water to achieve a final ink solids of 46% was  
15 added followed by the co-reactant material mixture. 5.7% dry  
parts of a 30% solution of Hidorin Z-7-30 (Chukyo Europe) was  
added to the stirred co-reactant mixture.  
The pigment material blend was next added to the stirred  
vessel and lastly the dye material was added.

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The composition of the resultant ink is shown in the  
following Table 1.

Table 1

Material	% by weight
Polyvinyl alcohol - Moviol 4/88	4.5
Bisphenol A	10
Black T2R	4.56
Dimethyl terephthalate	5.7
Calopake-F	20
Zinc stearate - Hidorin Z-7-30 (30%E.E)	5.7
Surfinol 420	0.3
Drew N40 (defoamer)	0.1
Total	100

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Print Quality

The ink was applied by a laboratory flexographic printing unit at a coatweight range of up to 4gsm. Using a conventional thermal fax printer, at a pulse signal range of 1.0 - 1.4msec images were produced, and the results are given in Table 2. For comparison, the experiment was repeated using an uncoated base paper. Higher numbers obtained using a Gretag reader indicate a higher visibility printed image.

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Table 2

	Gretag Measurement	
	1.2msec	1.4msec
Uncoated base paper	0.06	0.04
Precoated base paper	0.31	0.72

It can be seen that the print quality is significantly better using the coated paper than using the uncoated paper. In both cases, minimal transfer of ink to the thermal printer head was observed.

## Example 2

### Storage Stability

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Ink samples prepared as in Example 1 were stored in standard warehousing conditions (i.e. neither in excessive direct light nor in extremes of temperature) for a 12 month period. Ink colour did not deteriorate during this storage period.

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The ink was printed and tested using the methodology of Example 1. Results of a very similar order of magnitude were obtained indicating a very good storage potential of the ink.

20 Ink printed paper samples produced as in Example 1 were dark stored in a laboratory cupboard for a 12 month period. The ink printed paper samples remained white in appearance. Imaged samples using the method described in Example 1 and measured using the Gretag densitometer gave exactly the same  
25 results as when the paper was freshly printed with ink. This indicated very good stability of the printed paper samples.